

Enterprise Continuous Monitoring

Powered by ACL™ Analytics Exchange



“The ROI on Analytics Exchange is excellent. Less than a year after implementation, the solution has already paid for itself many times over.”

Brian Anderson
Accounts Payable Manager
Emergency Medical Services Corporation

Protect the Bottom Line

Every month, new reports emerge of organizations being fined by international watchdogs for anti-bribery, privacy and fraudulent breaches, and lack of adherence to industry-specific regulations and corporate governance issues. The consequence goes well beyond paying a hefty fine—rebounding from a tarnished reputation is a much longer and potentially costly process.

An increasingly complex world that puts greater demand on an organizations' audit, risk and compliance groups to maintain and uphold operational controls from breaking down requires the right solution. The Enterprise Continuous Monitoring (ECM) solution powered by ACL™ Analytics Exchange (AX) technology helps organizations detect and remediate revenue leakage, accounting errors and diminishing productivity.

Benefits:

- Continuously monitor transactional data to detect control breakdowns as they happen
- Assign and automate exception management workflow
- Report exceptions in interactive dashboards
- Conduct deeper ad-hoc investigation on analytic results

“ACL has enhanced our team’s reputation as a company-wide resource. We are now collaborating with other departments to develop new continuous monitoring routines across the enterprise.”

Byron Enamorado, CPA, CIA, ACDA, Audit Supervisor

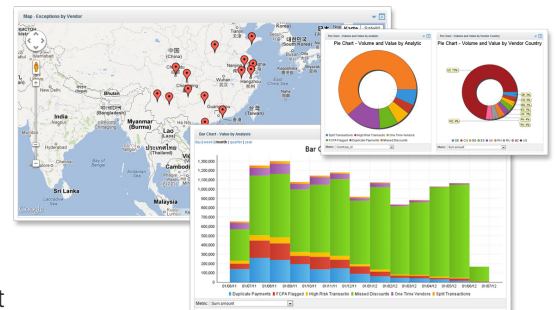
Westfield Corporation

The ECM solution utilizes the AX engine to run scheduled analytic tests to detect exceptions and automatically push the results to the appropriate stakeholder for immediate remediation.

- **Schedule and automate recurring tests to detect exceptions:** Once analytic tests are running, they will analyze 100% of the transactional data to detect anomalies and control failures.
- **Assign and automate exception management workflow:** AX provides a centralized view for a user to monitor and respond to key risk areas. As key performance and risk indicator thresholds are hit, the exceptions will be assigned to the proper business stakeholders for immediate remediation.
- **Report exceptions in interactive dashboards:** All exceptions may be documented in a selection of 40+ chart types including heat maps. This provides management

an immediate view into exception trends and patterns while allowing them to easily interact and drill down into the data to uncover the root cause of the control failure.

- **Conduct deeper ad-hoc investigation on analytic results:** After identifying exceptions from the analytic tests, users may perform further analysis on the data results. Users may leverage the web client or the Add-ins for Microsoft Office® Excel to investigate the results. Also, ACL™ Desktop can be used to conduct ad-hoc investigation to search for previously unidentified transactional anomalies, errors and discrepancies.



Interested in learning more about our products and services?

Call **1-888-669-4225** to speak with a representative

Visit our website at acl.com
Email us at info@acl.com

Choose Your ECM Team Structure

ECM is designed to be a flexible, user-based solution. User roles can be mixed and matched to best suit your unique business requirements.

Components	Architect	Specialist	Reviewer
Analysis and Script Development	✓		
Server Access	✓		
Web Access to Analytics and Results	✓	✓	
Excel Investigation Add-on	✓	✓	
Exception Remediation	✓	✓	✓
Trending and Visualization	✓	✓	✓

- **Architect:** A data specialist within the team that has thorough knowledge of the organization's data; creates and schedules analytics. May also be an administrator that assigns access privileges.
- **Specialist:** An auditor or compliance professional that has in-depth knowledge of business processes and governance rules. After generating analytic results from the tests developed by the Architects, Specialists can further investigate the results using either web client or the Microsoft Excel® add-on ribbon.
- **Reviewer:** A business departmental manager that is responsible for day-to-day operations. All exceptions detected from the analytic tests either scheduled by the Architect or detected by the Specialists are sent directly to the Reviewers for immediate remediation. As well, exceptions may be documented in an interactive dashboard view to provide a highlevel view of business trending patterns and key risk indicators (KRIs).

Technical Specifications

Supported Operating Systems:

- Microsoft Windows® 7 (SP1 32-bit and 64-bit)
- Microsoft Windows® XP (SP3)

Server Operating System:

- Microsoft Windows® Server 2012
- Microsoft Windows® Server 2008 (R2 SP1 Enterprise Edition)
- Microsoft Windows® Server 2008 (Enterprise Edition 32-bit)

Minimum Requirements:

- Dual core processor at 2.5GHz or higher (Quad core processor recommended)
- 4GB RAM (8GB recommended)
- 2.5GB hard drive space (500GB recommended) for AX application files and data files
Note: Significant additional disk space may be required to store data extracts, flat files, results, and audit archives.

About ACL

ACL delivers technology solutions that are transforming audit and risk management to give organizations unprecedented control over their business.

Our integrated family of products—including our cloud based audit and compliance management solution and flagship data analytics products—are used at all levels of the enterprise to help maximize growth opportunities by identifying and mitigating risk, protecting profits, and accelerating performance.

Thanks to 25 years of experience and our consultative approach, we implement flawlessly so customers realize concrete business results fast at low risk. Our actively engaged community of more than 14,000 customers around the globe—including 89% of the Fortune 500 and hundreds of governments—tells our story best. Visit us online at www.acl.com.



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